

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of
1938, as amended**For Six Month Period Ending January 31, 2013

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No.

Hong Kong Trade Development Council Inc

2181

- (c) Business Address(es) of Registrant

219 East 46th Street, New York NY 10017

2. Has there been a change in the information previously furnished in connection with the following?

- (a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

- (b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

- (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C
- ¹
- , state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☐If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
------	----------	-----------------------

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☐

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
------	-------------------	-------------	----------	--------------

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☒ No ☐

Name	Residence Address	Citizenship	Position	Date Assumed
Sham, Wing Tong	420 E. Waterside Dr. #2412	British	Director, Midwest	November 2012

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
------	------------------------	-----------------

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
------	------------------------	-------------------	-----------------

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Hong Kong Trade Development Council, Hong Kong

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Hong Kong Trade Development Council

38/F, Office Tower, Convention Plaza, 1 Harbour Road, Wanchai Hong Kong

- to promote trade with the United States into and out of Hong Kong.
 - to provide information on market intelligence.
 - to assist Head Office in the execution of promotional projects.
 - to establish, maintain and improve a databank of useful business contacts for handling business matching enquires.
 - to provide consultative and trade enquiry services to Hong Kong and American businessmen.
- See attachment for detailed activities.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
August 2012 to January 2013	Hong Kong Trade Development Council, Hong Kong	Operating Expenses: New York Office Chicago Office Los Angeles Office	\$1,349,697.88 \$ 339,938.07 \$1,893,372.39

\$3,583,008.34

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
-------------------	---------------	----------------	---------

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
August 2012 to January 2013	See attachment for details		

\$3,323,318.05

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐

No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
------	-----------	-------------------	----------------	---------

(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐

No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
------	--------------------------	-------------------------------------	-------------------

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes ☐No ☒

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐No ☒

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

☐ Radio or TV broadcasts☐ Magazine or newspaper☐ Motion picture films☐ Letters or telegrams☐ Advertising campaigns☐ Press releases☐ Pamphlets or other publications☐ Lectures or speeches☐ Other (specify) _____**Electronic Communications**☐ Email☐ Website URL(s): _____☐ Social media websites URL(s): _____☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

☐ Public officials☐ Newspapers☐ Libraries☐ Legislators☐ Editors☐ Educational institutions☐ Government agencies☐ Civic groups or associations☐ Nationality groups☐ Other (specify) _____

21. What language was used in the informational materials:

☐ English☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

February 27, 2013

/s/ Ka Wai Lee

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Date	Name of Event	Venue	Organizer(s)	Description
August 2, 2012	HKASC - ITAB Breakfast	National University Campus Carlsbad, CA	Hong Kong Assn of Southern California International Trade Advisory Board	Breakfast Seminar
August 7-9, 2012	WSA Show	Sands Expo & Convention Center Las Vegas, NV	ENK International	Footwear and Accessories
August 12-15, 2012	ASD/AMD	Las Vegas Convention Center Las Vegas, NV	the Nielson Company	Variety & General Merchandise
August 20-23, 2012	MAGIC	Las Vegas Convention Center Las Vegas, NV	Advanstar Ccommunications Inc.	Apparel Industry
September 7, 2012	LAVA Breakfast	Real Office Centers Santa Monica, CA	Los Angeles Venture Association	Breakfast Seminar
September 6-8, 2012	Vision West Expo	Sands Expo & Convention Center Las Vegas, NV	Reed Travel Exhibitions UK	Optical and Accessories
September 13, 2012	HKBAM - HKSAR 15th Anniversary and "Think Asia, Think Hong Kong"	Law Office of Brinks Hofer Gilson & Lione Chicago, IL	Hong Kong Business Association of Midwest Hong Kong Trade Development Council Hong Kong Economic & Trade Office, NY	Outreach Seminar
September 19, 2012	Fine Wine Tasting & International Networking Event	Hong Kong Trade Development Council New York, NY	Hong Kong Trade Development Council NEXCO (National Assn of Export Companies)	Networking
September 27, 2012	CSUSM Doing Business in Hong Kong and China	University of San Marcos Campus San Marcos, CA	California State University San Marcos	Outreach Seminar
October 3, 2012	Food & Ag Sectors Breakfast Roundtable	Intercontinental Hotel Chicago, IL	Hong Kong Trade Development Council	Breakfast Networking
October 3-4, 2012	Air Cargo Forum	Georgia World Congress Center Atlanta, GA	TIACA (The International Air Cargo Association)	Air Cargo
October 4, 2012	Hong Kong: America's Bridge to Asian Growth	The Carter Center Atlanta, GA	Hong Kong Trade Development Council	Outreach Seminar
October 11-14, 2012	International Hobby Expo	I-X Center Cleveland, OH	HMA Hobby Manufacturers Association	Toys, Dolls, Art & Craft Products
October 18, 2012	The 9th Joint Seminar "Doing Business with China and Hong Kong"	Handlery Hotel San Diego, CA	Hong Kong Association of Southern California	Networking

October 18, 2012	Fostering New Business Partnerships between Boston and Hong Kong	Harvard Club of Boston Boston, MA	Hong Kong Association Massachusetta Hong Kong Economic & Trade Office, NY Hong Kong Trade Development Council Mass Insight Global Partnerships	Breakfast Seminar
October 23, 2012	Doing Buisness in Hong Kong, China & Asia: China's IPR Enforcement Regime & Provisions for Commercial Disputes Resolution	Law Office of Edwards Wildman Palmer Chicago, IL	Hong Kong Business Assoication of Midwest Hong Kong Trade Development Council	Breakfast Seminar
October 30, 2012	RMB Internationalization and Its Effect on Global Capital Markets	HSBC New York, NY	Columbia Business School Asian Alumni club Hong Kong Trade Development Council	Outreach Seminar
Oct 30 - Nov 1, 2012	AAPEX (Automotive Aftermarket Products Expo)	Sands Expo & Convention Center Las Vegas, NV	ASIA/MEMA/APAA	Automotive Industry
Oct 31 - Nov 7, 2012	AFM (American Film Mart)	Loews Hotel Santa Monica, CA	American Film Mart	Film Industry
November 3, 2012	Graduate Seminar on Doing Business in China - Doing Business in Hong Kong	University of West Campus Rosemead, CA	University of the West	Outreach Seminar
November 5, 2012	Hong Kong: Managing Challenges & Opportunities in China	Hilton San Francisco at Union Square San Francisco, CA	Hong Kong Trade Development Council	Luncheon Seminar
November 7, 2012	Hong Kong Family Open House	Westin Bonaventure Hotel Los Angeles, CA	Hong Kong Association of Southern California	Networking
November 8, 2012	Asia Business Forum at USC	Universty of Southern California Campus Los Angeles, CA	California CPA Society Los Angeles	Outreach Seminar
November 13, 2012	"Think Asia, Think Hong Kong" Wine and Cheese Reception	Hong Kong Trade Development Council New York, NY	Hong Kong Trade Development Council Hong Kong Association of New York	Networking
December 3, 2012	Capturing Opportunities in Hong Kong & China's Fashion	Los Angeles Chamber of Commerce Los Angeles, CA	Hong Kong Trade Development Council	Luncheon Seminar
December 6, 2012	Trade.Connect Workshop	California Market Center Los Angeles, CA	Port of Los Angeles	Luncheon Seminar
December 7, 2012	International Trade Fair	McHenry County College McHenry, IL	The Shah Center of McHenry County College	Outreach Seminar
December 11, 2012	Hong Kong: America's Bridge to Asian Growth	The Duquesne Club Pittsburgh, PA	World Affairs Council of Pittsburgh	Luncheon Seminar
January 8-11, 2013	2013 CES (Consumer Electronics Show)	Las Vegas Venetian Convention Center Las Vegas, NV	Consumer Electronics Association	Consumer Electronics

January 15, 2013	Southwest Investment Forum	Biltmore Hotel Century City, CA	CFA Society of Los Angeles	Networking
January 29, 2013	Fostering New Business Partnerships between New Jersey and Hong Kong	Trenton Marriott Downtown Trenton, NJ	Hong Kong Economic & Trade Office, NY Hong Kong Trade Development Council	Luncheon Seminar
January 26-29, 2013	New York International Gift Fair	Jacob K. Javits Convention Center New York, NY	George Little Management LLC	Gifts items
January 29, 2013	Fostering New Business Partnerships between New Jersey and Hong Kong	Trenton Marriott Downtown Trenton, NJ	Hong Kong Economic & Trade Office, NY Hong Kong Trade Development Council	Luncheon Seminar
January 29-31, 2013	WSA Show	Sands Expo & Convention Center Las Vegas, NV	ENK International	Footwear and Accessories

(Page 6)			
Disbursement Only			
Expenditure Heading	New York Office	Chicago Office	Los Angeles Office
Staff Costs	747,138.28	134,042.25	535,451.44
Cable & Telephone	10,112.39	4,939.52	6,289.51
Printing & Statonery	1,737.84	4,654.53	2,252.76
Office Rent	83,967.30	37,267.10	55,312.38
Publicity (yellow page listing)	0.00	196.81	102.60
Publications	1,116.66	188.35	1,276.40
Postage	2,495.64	2,715.39	12,634.64
Miscellaneous	468,042.03	154,099.17	1,015,590.06
Advertising *(see attached)	24,095.00	0.00	17,600.00
Miscellaneous included Official Entertainment, Staff Recurrent, Traveling, Non-Recurrent Expenses,			
Trade Promotion and Audit Fees.			

HKTDC - NEW YORK OFFICE

Advertising Agency Company

Company	Description	Check Number	Payment Date	Amount
Publishers Weekly 71 West 23rd Street, Suite 1608 New York, NY 10010-4186	Ads in BEA Show Daily, Jun 4-6/2012 promoting HK Book Fair, Jul 2012	Check # 16849	Aug-10-2012	\$6,750.00
Wines & Vines 65 Mitchell Blvd, Ste A San Rafael, CA 94903	Ad in Wines & Vines, Sep/12 issue promoting HK International Wine & Spirits Fair, Nov 2012	Check # 16872	Aug-20-2012	\$3,185.00
The Fashion Group Internationsl Inc 8 W 40th Street, 7th Floor New York, NY 10018	Ad in Fgl Membership Directory 2012 promoting HK Watch & Clock Fair, Fall Fashion Week, World Boutique, Jewelery Show and Summer Fashion Week.	Check # 16926	Sep-20-2012	\$2,960.00
First Vision Media Group Inc 25 E Spring Valley Avenue, Ste 290 Maywood, NJ 07607	Two email blasts on Sep 4th and Sep 17, 2012 promoting HK Optical Fair, Nov 2012	Check # 16970	Oct-19-2012	\$2,200.00
Trade Show News Network 16985 W Bluemound Road, Ste 210 Brookfield, WI 53005	Online banner at TSNN.com, March 2013 promoting Hong Kong as a Trade Fair Capital	Check # 17024	Nov-14-2012	\$2,000.00
Publishers Weekly 71 West 23rd Street, Suite 1608 New York, NY 10010	Ads in Frankfurt Show Daily, Oct 10-11,13/2012 promoting HK Book Fair, Jul 2013	Check # 17037	Nov-20-2012	\$4,500.00
MnM Publishing Corp. 110 E. 9th Street, Suite A777 Los Angeles, CA 90079	Ad in California Apparel News, Nov 12 issue Promoting HK Fashion Week for Fall/Winter and HK World Boutique, Jan 2013	Check # 17083	Dec-17-2012	\$2,500.00

Total \$24,095.00

HKTDC - LOS ANGELES OFFICE

Advertising Agency Company

Company	Description	Check Number	Payment Date	Amount
MAGIC/ Advanstar Communications 2501 Colorade Avenue, Suite 280 Santa Monica, CA 90404	Ads in Show Guide, August 2012 promoting HKTDC trade fairs	Check # 22122	Aug-07-2012	\$2,500.00
Consumer Electronics Association 1919 South Eads Street Arlington, VA 22202	Rental of two onsite advertsing kosks promoting HKTDC presence at the 2013 CES and HK Electronics Fair, Apr 2013	Check # 22393	Nov-21-2012	\$10,000.00
Hong Kong Assn of Southern California 350 S. Figueroa Street Los Angeles, CA 90071	Ad in 2013 Chinese New Year Gala Program Book; Web banner ad promoting HKTDC services	Check # 22528	Jan-03-2013	\$600.00 \$1,000.00
Hong Kong Assn of Northern California 130 Montgomery Street, 5/F San Francisco, CA 94104	2012-2013 Web banner ad promoting HKTDC services	Check # 22599	Jan-28-2013	\$1,250.00
Hong Kong Assn of Northern California 130 Montgomery Street, 5/F San Francisco, CA 94104	Ad in 2013-2014 Membership Directory; 2013-2014 Web banner ad promoting HKTDC services.	Check # 22615	Jan-31-2013	\$1,000.00 \$1,250.00

Total \$17,600.00